





PATENT AND TRADEMARK OFFICE

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FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE

09/780,987

02/09/2001

Sun Ming Lieu

20004-000720US

CONFIRMATION NO. 2255

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER OC000000005870514*

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$702.
 - \$342 for 38 total claims over 20.
 - \$360 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1122.

A copy of this notice MUST be returned with the reply.

09/11/2001 GTEFFERA 00000021 201430 09780987

355.00 CH 65.00 CH 02 FC:205

Customer Service Center Initial Patent Examination Division (703) 308-1202 342.00 CH 360.00 CH

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -> +

Please typo a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031

U.S. Paten, and Trademark Office: U.S. DEPARTMENT OF COMMEDIA

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				16			
OIPE	,		Appli	cation Number	09/780,987		
TRANSMITTAL			Filing	Date	February 9, 2001		
SEP 1 0 2001 FORM			First Named Inventor		Lieu, Sun Ming		
(to be used for all correspondence after initial filing)			Group	Art Unit	,2165		
(to be used for an correspondence after initial filling)			Exam	ner Name	To Be Assigned		
Total Number of Page	s in This Submission	1	Attorn	ey Docket Number	020004-000720US		
		ENCL	OSURES	(check all that apply)			
Fee Transmittal F	Form		ment Pa _l A <i>pplication</i>		After Allowance Communication to Group		
Fee Attached	Drawing(s)			Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/dec		to Conv onal App		Status Letter			
Extension of Time			ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Express Abandon	☐ Terminal Disclaimer ☐ Request for Refund			Two (2) Declarations; Copy of Notice of Missing Parts; Application Data Sheet;			
☐ Information Disclo	sure Statement	CD, Number of CD(s)			Return Receipt Postcard		
Certified Copy of Document(s)	Remarks The Commissioner is Deposit Account 20-14			authorized to charge any additional fees to 430.			
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated March 16, 2001, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.					
	SIGNA	TURE OF A	APPLIC	ANT, ATTORNEY, O	R AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Sujit B. Kotwal Reg No. 43,336						
Signature S.B. Kotwal			•		- · · · · - · · · · · · · · · · · · · ·		
Date September 6, 2001							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 6, 2001							
Typed or printed name Krista K. Merrimac							
Signature	Signature Date September 6, 2001						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3150073 v1

Patent and Tradem Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

THE TRANSMITTAL	Complete if Known				
OFFEE TRANSMITTAL	Application Number	09/780,987 February 9, 2001 Lieu, Sun Ming			
SEP 1 0 2001	Filing Date				
2001 E	First Named Inventor				
Palent fees are subject to annual revision.	Examiner Name	To Be Assigned			
A Mademark Ke	Group Art Unit	2165			
TOTAL AMOUNT OF PAYMENT (\$) 1817	Attorney Docket No.	020004-000720US			

			METHO	OF PAYMENT						FEE C	ALCULATION (continued)		
1. indicated fees and credit any over payments to:						3. ADDITIONAL FEES							
Depo		<u> </u>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Accou		20-	1430				105	130	205	65	Surcharge - late filing fee or oath	65	
Number					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Deposit Account Townsend and Townsend and Crew LLP					139	130	139	130	Non-English specification				
Name						147	2,520	147	2,520	For filing a request for reexamination			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
	Applicant of See 37 C		mall entit	y status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.	Payme	nt Encid	osed:				115	110	215	55	Extension for reply within first month		
۰۵	Check	☐ Cre	edit card	☐ Money	☐ Oth	er	116	390	216	195	Extension for reply within second month		
				Order			117	890	217	445	Extension for reply within third month		
FEE CALGULATION 1. BASIC FILING FEE					118	1,390	218	695	Extension for reply within fourth month	695			
Large	Entity		Entity				128	1,890	228	945	Extension for reply within fifth month		
Fee	•	Fee	Fee	Fee Description			119	310	219	155	Notice of Appeal		
Çode	(\$)	Code	(\$)			ee Paid	120	310	220	155	Filing a brief in support of an appeal		
101		201		Utility filing fee	3	155	121	270	221	135	Request for oral hearing		
106 107		206 20 7	160 245	Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding		
108	710	208	355	Reissue filing fee			140	110	240	55	Petition to revive – unavoidable		
114	150	214	75	Provisional filing f	ee 🗆		141	1,240	241	620	Petition to revive – unintentional		
					_		142	1,240	242	620	Utility issue fee (or reissue)		
		SU	IBTOTA	L (1)	(3	\$)355	143	440	243	220	Design issue fee		
2. EXTR	A CLAIN	1 FEES					144	600	244	300	Plant issue fee		
				Extra Fee	from	Fee	122	130	122	130	Petitions to the Commissioner		
Total Claim	ıs <u>58</u>	20**		Claims belo		Paid \$342	123	50	123	50	Petitions related to provisional applications		
Independen Claims	t 12	-3**	- [9 X \$4	10 =	\$360	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	<u> </u>		_	x	<u> </u>		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Fee	Entity Fee	Small Fee	Entit Fee	у			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code	(\$)	Code	(\$)	Fee Description			149	710	249	355	For each additional invention to be		
103 102	18 80	203 202	9 40	Claims in exce		of 3	ŀ				examined (37 CFR § 1.129(b))	\vdash	
104	270	204	135	Independent claims in excess of 3			179	710	279	355	Request for Continued Examination (RCE)		
109	80	209	40	Multiple dependent claim, if not paid ** Reissue independent claims over original patent			169	900	169	900	Request for expedited examination of a design application		
110	18	210	9	** Reissue dai over original pa	ms in exces	s of 20 and	Other fe	e (specif	y)				
			S	UBTOTAL (2)	(\$)702			mmissio ve noted			ed to charge any additional fees to unt.		
				L			*Reduc	ed by Ba	sic Filin	Fee Pa	aid SUBTOTAL (3) (\$)760		
**or numl	ber previou	isty paid,	if greater	; For Reissues, see	above		l						

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Sujit B. Kotwał	Registration No. (Attorney/Agent)	43,336	Telephone	650-326-2400		
Signature	5. B. Kot	wal		Date	September 6, 2001		

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